



Financial Assistance Award

DENALI COMMISSION
510 "L" Street, Suite 410
Anchorage, Alaska 99501
(907) 271-1414 (phone)
(907) 271-1415 (fax)
www.denali.gov

Project Number

A-2004-10

Project Title

Health Facilities Technical Assistance Subcommittee

Performance Period

August 1, 2004 to September 30, 2006

Recipient Name & Address

State of Alaska, Department of Health and Social Services
PO Box 110601
Juneau, AK 99811-0601
Phone: (907) 465.3030
Fax: (907) 465.3068

Recipient DUNS # 80-938-6543

TIN # 92-6001185

Authority

112 Stat 1854

CFDA Number

90.100

Denali Commission Finance Officer Certification

CCE

Cost Share Distribution Table

Accounting Code	Denali Commission	Other Contributors	Total
95670000	\$125,000		\$125,000
			\$0
			\$0
			\$0
			\$0
Total	\$125,000	\$0	\$125,000

This Financial Assistance Award approved by the Federal Co-Chair of the Denali Commission is issued in triplicate and constitutes an obligation of federal funding. By signing the three documents, the Recipient agrees to comply with the Award provisions indicated below and attached. Upon acceptance by the Recipient, two signed Award documents shall be returned to the Federal Co-Chair of the Denali Commission and the Recipient shall retain the third document. If not signed and returned without modification by the Recipient within 30 days of receipt, the Federal Co-Chair may unilaterally terminate this Award.

☒ Special Award Conditions and Attachments

☐ Line Item Budget

☒ OMB Circular A-133, Audits of States, Local Governments and Indian Tribal Governments
(www.whitehouse.gov/OMB/circulars/a133/a133.html)

Administrative Requirements (check one)

☒ OMB Circular A-102, Grants and Cooperative Agreements with State and Local Governments
(<http://www.whitehouse.gov/omb/circulars/a102/a102.html>)

☐ OMB Circular A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations
(<http://www.whitehouse.gov/omb/circulars/a110/a110.html>)

Cost Principles (check one)

☒ OMB Circular A-87, Cost Principles for State and Local Governments and Indian Tribal Governments
(www.whitehouse.gov/OMB/circulars/a087/a087-all.html)

☐ OMB Circular A-122, Cost Principles for Nonprofit Organizations
(www.whitehouse.gov/OMB/circulars/a122/a122.html)

☐ OMB Circular A-21, Cost Principles for Educational Institutions
(www.whitehouse.gov/OMB/circulars/a021/a021.html)

☐ 48 CFR 31.2, Contracts with Commercial Organizations

Signature of Authorized Official - Denali Commission

Typed Name and Title

Jeffrey B. Staser, Federal Co-Chair

Date

10-5-2004

Signature of Authorized Official - State of Alaska, DHSS

Typed Name and Title

Joel Gilbertson, Commissioner

Date

10-12-04

***Financial Assistance Agreement Conditions
Between the Denali Commission and the
Alaska Department of Health & Social Services
For Health Facilities Technical Assistance
Project No. A-2004-10***

1. Scope of Work

The Alaska Department of Health and Social Services (A-DHSS) is part of the Technical Assistance Subcommittee (TASC) to the Commission's Health Steering Committee. Funding of \$125,000 to the A-DHSS has been approved for this purpose. In addition, A-DHSS staff provide technical support for the Commission's behavioral health, domestic violence shelters, and hospital programs as funded through its "Other Than" Primary Care Program.

The TASC provides support to communities and health organizations on health facility improvements including questions associated with project development and health service delivery plans. A typical health facility project will follow three phases: conceptual planning, design and then construction. It is expected that the TASC shall focus its attention to those projects in the conceptual planning phase and then hand off project assistance during the design phase to either the Alaska Native Tribal Health Consortium (ANTHC) for the "Small" clinic and "Repair and Renovation" programs or to the Commission for the "Large" clinic program. However, some support work may be requested during the design phase.

The A-DHSS shall provide staffing support for the TASC including individual technical advisors, as are available, and a senior manager to provide support and guidance to the TASC. In general, the senior manager's staff time shall be borne by the respective organization unless the senior manager is providing hands on technical assistance to health service organizations carrying out the conceptual plans. Technical Advisors staff time shall be supported by this Agreement.

Travel associated with the TASC will be the responsibility of the Commission. Specific trips shall be approved in advance by either the Commission's Health Facilities Program Manager, Deputy Program Manager or Chief of Staff (or his delegate). A-DHSS may elect to have the Commission arrange for travel in advance or submit trip voucher reimbursements.

There are no project related milestones associated with this Agreement. All Commission funding is intended for use for the scope of work identified in the Award document only. In the event there is a balance of funding remaining after the full scope of work has been completed, the Denali Commission shall determine how the excess funds will be allocated.

2. Agreement Performance Period

The Agreement performance period is August 1, 2004 through September 30, 2006. This is the period during which Award recipients can incur obligations or costs against this Award.

3. Direct and Indirect Costs

The cost principles of OMB Circular A-87 are applicable to this Award. Please refer to the cost principles regulations for specific details on other allowable charges under this Award.

4. Budget and Program Revisions

The OMB Circular A-102 applies to this Award. Please refer to the Administrative Circular for specific details on revisions to this Agreement. The Administrative Circular requires that A-DHSS will inform the Commission in writing (e-mail, letter, or report) at the earliest possible date of any unanticipated project cost overrun, project schedule delays, or changes in the project scope or changed site conditions.

5. Payments

Payments under this Award will be made through the U.S. Department of Treasury's Automated Standard Application for Payment (ASAP) system. The ASAP system is the Commission's mechanism for requesting and delivering Federal funds to Award recipients. The A-DHSS must be registered with the ASAP program in order to make draw downs. Please contact the Commission's Program Manager or Finance Manager for further information about registering with the ASAP program. Payments shall be made in accordance with Circular A-102. **No interest will be accrued on these funds.**

6. Reporting

Three forms of project reporting are required under this Award, listed below. The A-DHSS shall submit reports using the Denali Commission's on-line Project Database System, available at www.denali.gov. If there are technical limitations which may prevent the recipient from meeting this requirement, please contact the Program Manager listed in this agreement.

- a. **Progress Reports** shall be submitted on an annual basis. The first reporting period is for calendar year 2004, and each calendar year thereafter. Reports are due within 30 days of the end of the reporting period. Progress reports shall include the following:
 - i. Total project funding, including both Denali Commission funding and other project funding sources.
 - ii. The total project expenditures for the project as of the end of the reporting period, including both Denali Commission and Other funding sources.
 - iii. Update schedule and milestone information as identified in the Scope of Work

- iv. Narrative summary of the project status and accomplishments to date, and address the following questions: is the project on schedule, is the project on budget, and what actions are planned to address any project problems.
- v. **Non-Construction Projects** – No photos are required of A-DHSS for this Award.

7. Project Close-Out

The project close-out shall be completed within 90 days of the end of the Agreement performance period or within 90 days of the completion of the project, whichever is earlier. Recipients must also draw down any remaining funds for expenditures incurred under this award during this 90-day period.

The Project Close-out report shall be submitted on-line through the Denali Commission's on-line Project Database System, available at www.denali.gov. The project close out will require the recipient to submit the following information:

- a. Final data for each item listed in paragraph 6(a) "Progress Reports"
- b. Final project expenditures itemized by the following categories: planning & design; materials & equipment; freight; labor; project administration/overhead and other expenses.
- c. Acknowledgement of support: Given the nature of the professional services provided by A-DHSS, there is no requirement for acknowledgement of support.

8. Public Policy Laws and Assurances

Recipients are required to comply with the public policy laws and assurances on Standard Forms SF 424b (non-construction projects) or SF 424d (construction projects). This form must also be signed by a certifying official of the organization. Some of the laws are highlighted below for your reference.

- To the maximum extent practicable, considering applicable laws, Funding Recipients shall accomplish the project contemplated by using local Alaska firms and labor.
- No portion of this funding may be used for lobbying or propaganda purposes as prohibited by 18 U.S.C. Section 1913 or Section 607(a) of Public Law 96-74.
- Project level environmental reviews in accordance with the National Environmental Policy Act (NEPA) and the National Historic Preservation Act (NHPA) are required for each project undertaken with Denali Commission funds.

9. Non-Compliance with Agreement Conditions

Recipients not in compliance with the terms and conditions of this Financial Assistance Agreement will be notified by the Denali Commission. The Denali Commission will work with the recipient to identify the steps necessary to bring them back into

compliance, and will establish an appropriate time frame for the corrections to be made. If the corrections have not been made by the deadline, the Denali Commission reserves the right to either suspend or unilaterally terminate the Financial Assistance Agreement for non-performance.

10. Program Manager, Financial Manager & Other Contact Information

Denali Commission	A-DHSS
Joel Neimeyer (phone) (907) 271-1459 e-mail jneimeyer@denali.gov Or Tessa Rinner (phone) (907) 271-1624 e-mail trinner@denali.gov 510 "L" Street, Suite 410 Anchorage, AK 99501 (fax) (907) 271-1415	Pat Carr PO Box 110616 Juneau, AK 99811-0616 907-465-8618 (phone) 907-465-6861 (fax) pat_carr@health.state.ak.us